

IT – INET Nordic – CORRECTION for UPDATE: Regulatory suspensions related to double volume cap mechanism and removal of two suspensions as of June 12, 2018 (39/18)

MiFID II double volume cap (DVC) imposes a cap on Nordic@Mid trading and in case of liquid Instrument the reporting of certain Manual Trades. The updated list of Nasdaq Nordic and Nasdaq Baltic Instruments impacted by DVC measures is attached to this IT Notice. Column 'New/ Updated' indicates if the share has been added or if there is an update to the DVC status.

Note that DVC measures do not impact orders equal to or greater than LIS threshold sent to Nordic@Mid nor any Manual Trades where trade size is equal to or greater than LIS threshold. This kind of trading continues to be available despite of DVC measures in Instrument.

Update: DVC cap added to three instruments on Nasdaq Copenhagen

Correction for update: LIS threshold in EUR for the below three instruments has been corrected in the enclosed excel. Correct LIS threshold is 30000 EUR.

DVC cap will be effective as of June 12, 2018 for three additional instruments on Nasdaq Copenhagen as per ESMA's DVC suspension list:

C WorldWide Globale Aktier KL A, DK0010157965

Sydinvest HøjrenteLande A DKK, DK0016039654

Danske Inv Mix Akk KL, DK0060010841

Removal of DVC cap

DVC cap will be removed as of June 12, 2018 from two Nasdaq Stockholm AB shares as the new ISINs of the these shares are not on ESMA's DVC suspension list:

Atlas Copco A, ISIN SE0011166628

Fabege, ISIN SE0011166974

Details

Measures related to the DVC mechanism are described in INET Nordic Market Model, available on <http://business.nasdaq.com/list/Rules-and-Regulations/European-rules/index.html>

Support

For technical questions, please contact Nasdaq Cash Equity Operations:

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Best regards,

Nasdaq Nordic and Nasdaq Baltic

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