

**IT Exchange Notice**

**IT 171/11**

**IT – INET Production – Pre-trade Risk Management (PRM) Services and Price List updates**

NASDAQ OMX Nordic's Pre-trade Risk Management (PRM) provides Members with the ability to facilitate pre-trade protection on both FIX and OUCH protocols.

**PRM Services on Native FIX**

As of November 1<sup>st</sup>, the PRM services will be extended to cover orders and trades submitted via Native FIX ports, providing customers with the same set of controls as offered today.

As a requested new feature, on Native FIX it will be possible to activate the "Fat-finger" price deviation control on individual Native FIX order entry ports.

Order Cancel and Order Entry Prevention on FIX Drop Disconnect service will be supported also for Native FIX Drop – OUCH ports.

For more information on Native FIX please visit:

[http://nordic.nasdaqomxtrader.com/memberextranet/inetnordic/Connectivity\\_and\\_Protocols/](http://nordic.nasdaqomxtrader.com/memberextranet/inetnordic/Connectivity_and_Protocols/)

**Changes in the PRM Service Price List from January 1<sup>st</sup>, 2012**

As of January 1<sup>st</sup>, 2012 the PRM Services will be charged according to the following price list:

	Price	
<b>PRM, Pre-trade Risk Management Services</b>		
Fat-finger control (applied on Port pair only)	EUR 100 per port pair/month	New
Maximum Order Quantity Check	EUR 100 per PRM Account/month	New
Maximum Order Value Check	EUR 100 per PRM Account/month	Unchanged
Restricted Symbol/Market List Check	EUR 100 per PRM Account/month	Unchanged
Daily Accumulated Values Checks (multiple accumulators available)	EUR 500 per PRM Account/month	Unchanged
Order Cancel and Order Entry Prevention on FIX Drop Disconnect	EUR 100 per PRM Account/month	Unchanged
PRM Administration Interface via Nordic Workstation	Free of charge***	Unchanged
Blow-through protection	EUR 100 per PRM Account/month	Unchanged

\*\*\* The Nordic Workstation user account, including the market data, will be provided free of charge for read-only users engaged exclusively in risk management and compliance via the PRM Administration Interface.

**Documentation**

For more information about the PRM Service and related documentation please visit:  
[http://nordic.nasdaqomxtrader.com/trading/equities/pre\\_trade\\_risk\\_management/](http://nordic.nasdaqomxtrader.com/trading/equities/pre_trade_risk_management/)

**Questions and feedback**

For further information and feedback concerning this information please contact Tech Support at: +46 8 405 64 10, [operator@nasdaqomx.com](mailto:operator@nasdaqomx.com).

Best regards,

NASDAQ OMX Nordic