

Discontinuation Monthly - Stock Lending - STO

NASDAQ OMX is evaluating discontinuation of stock lending reports effective November 1st 2012.

As of November 1st new rules regarding short-selling of stocks will be in effect following a decision by the European Commission. In connection to this the Swedish Financial Supervisory Authority (Finansinspektionen) will publish short selling statistics to market participants.

The stock lending report contains data for stock lending with a wide variety of sources such as hedging, delivery and short selling activities. Despite this fact the report is often viewed as a short selling indicator. NASDAQ OMX welcomes the new rules and believes that the short selling statistics from Finansinspektionen is a better alternative to the stock loan report and that it will create a higher degree of transparency and investor protection.

Your feedback is important to us. Therefore, we will determine the continuation of these reports based on our member's response to this exchange notice.

Please direct all feedback to:

tradingoperations@nasdaqomx.com

Last day to provide feedback is September 30th, 2012.

For more information on the new short selling statistics:

Press release from Finansinspektionen:

<http://www.fi.se/Rapportera/Blankning/Listan/Nya-blankningsregler-fran-november/> (Swedish only)

Information regarding new rules for short selling from the European Commission:

http://ec.europa.eu/internal_market/securities/short_selling_en.htm

For questions regarding this exchange notice, please contact Trading Operations,
tradingoperations@nasdaqomx.com.

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