

Enable centralized control and compliance of your firm's social media and client communications strategy.

RegEd's Social Media and Communications Compliance suite is the first all-encompassing, centralized compliance solution for the financial services industry. Our solution powers your firm's marketing efforts across social media and advisor websites while streamlining your related compliance responsibilities to maximize the resources for both marketing and compliance professionals.



Key Components



Social Media Archiving and Surveillance

- Access a complete and permanent record of social media participation across all of your firm's users
- Support ALL major social media platforms: Facebook, LinkedIn, Twitter, Google +, YouTube, Blogs, RSS
- Employ an industry-leading administrator dashboard to effectively monitor your overarching presence
- Search, export and report on your complete social media archives, including lexicon-based post-review capabilities
- Automate the delivery of your archives to email archiving vendors

Advertising Review

- Streamline the management of your firm's communications compliance with the industry-leading workflow solution for the review of advertising, sales and marketing materials
- Automate and take charge of your existing review process, eliminating the manual, paper-driven method that drains resources for marketing and compliance professionals while exposing your firm to risk
- Ensure review consistency and data completeness while providing an efficient experience for your end users
- Retain, search, and report on meaningful data with 17a-4 compliant storage and an optional designated third-party service available

Emerald Connect

- Provide a continuous stream of content for your advisors with SocialSpeaks, which delivers a library of relevant, FINRA-reviewed content designed to motivate followers to seek financial guidance
- Leverage SocialSpeaks to develop relationships, build trust and rapport, demonstrate integrity, persuade people to act, and increase production
- Increase visibility, enhance credibility, and strengthen image for your firm's advisors with Emerald Connect Websites
- Customize your presence with cutting-edge sites that are pre-loaded with a wealth of FINRA-reviewed financial information

Risk Analytics Suite

- Seamlessly feed all communications related data into RegEd's innovative and comprehensive Risk Analytics Suite
- Employ a configurable compliance dashboard in the Risk Control Center to allow your key decision makers to identify trends and exceptions before your firm is exposed to risk
- Define and manage data relationships in the Risk Analytics Toolset for further risk analysis with configurable exception thresholds to alert your stakeholders of risky behaviors
- Leverage Risk Profiles and Score Cards for a comprehensive view and risk calculation by individual, branch, region, or firm within your organizational hierarchy

The RegEd Advantage

As a RegEd client, you can depend on a collaborative relationship between your team and ours, where you can leverage our experience and expertise while having a strong voice in our continued platform improvements. Our world-class customer care and implementation teams will support your firm to ensure quality responsiveness and guidance with regard to best practices. Our regulatory team continually monitors impactful regulatory issues to ensure sustained compliance. Client Advisory Boards meet throughout the year, providing a forum for clients to influence the direction of our compliance solutions.

With significant combined investments in research and development and proven capabilities, the Social Media and Communications Compliance platform is a cutting-edge, industry-leading proven solution for financial services firms. It is an integral component of our Compliance and Risk Management product suite, which provides solutions for every facet of advisor compliance, all of which benefit from RegEd's 17+ years of industry experience. RegEd's solutions are recognized for their industry-leading configurability to accommodate your business requirements. As you adopt additional RegEd Compliance and Risk Management solutions, your platform configuration, user information, and organizational hierarchy will be leveraged, enabling you to deploy each solution quickly and efficiently to your end users.

About RegEd

RegEd is a leading provider of technology solutions for compliance and risk management serving over 800 enterprise clients including 80 of the top 100 financial services firms. Established in 1994 by former regulators, the company is a recognized industry authority and has created the standard of excellence for rule-based compliance automation for broker-dealers, investment advisors, insurance companies and their distributors. Our client-driven solutions deliver superior operational efficiency and enable firms to cost-effectively comply with state and FINRA regulations while mitigating risks before they occur.