

NASDAQ OMX NLX Market Notice: 003-13

Issue Date: June 28, 2013

Effective Date: June 28, 2013

NASDAQ OMX NLX Execution Fees and Incentive Structures – Amendment to the qualification period for the Early Adopter Scheme

Introduction

In Market Notice 002-13 NASDAQ OMX NLX (“NLX”) informed Participants of the NLX Execution Fee and Incentive Structures, including the Early Adopter Scheme. NLX would like to inform Participants of an amendment to the qualifying period for the NLX Early Adopter Scheme.

The NLX Early Adopter Scheme

NASDAQ OMX NLX Market Notice 002-13 stated that:

- ‘All NLX Participants who have traded any volume in any of the products during the period from launch, up to and including June 28, 2013 will be eligible to benefit from a 100% fee rebate of the relevant headline fee from July 1, 2013 to December 31, 2013 inclusive in all products.’

NLX has amended the qualification period as follows:

- Where NLX has received and processed a participants application by 17:00 British Summer Time (“BST”) on June 28, 2013, but the Participant has been unable to execute a trade as per the original requirement, the Participant will be eligible to benefit from a 100% fee rebate of the relevant headline fee until December 31, 2013 inclusive in all products, subject to executing a qualifying trade by and including July 31, 2013.

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APPENDIX A - NLX Headline Execution Fees

Product	NLX Headline Execution Fees	
	GBP	EURO
Short Term Interest Rate Futures	17.5p	21.5c
Long Term Interest Rate Futures	10.0p	12.5c

APPENDIX B - The Individual Trading Representative Liquidity Scheme - Volume Thresholds and Rebates

Volume Threshold* (Lots monthly)	Short Term Interest Rate Futures				Long Term Interest Rate Futures			
	GBP		EURO		GBP		EURO	
	Net Fee	Rebate	Net Fee	Rebate	Net Fee	Rebate	Net Fee	Rebate
Up to 500	17.5p	-	21.5c	-	10.0p	-	12.5c	-
501 - 2000	11.0p	6.5p	14.0c	7.5c	10.0p	-	12.5c	-
>2000	7.0p	10.5p	8.5c	13.0c	6.0p	4.0p	7.5c	5.0c

* Volume Thresholds are progressive not 'cliff-edge'

APPENDIX C - The Participant Liquidity Provider Scheme - Volume Thresholds and Rebates

Volume Threshold* (Lots monthly)	Short Term Interest Rate Futures				Long Term Interest Rate Futures			
	GBP		EURO		GBP		EURO	
	Net Fee	Rebate	Net Fee	Rebate	Net Fee	Rebate	Net Fee	Rebate
Up to 500	17.5p	-	21.5c		10.0p	-	12.5c	-
>501	14.0p	3.5p	17.5c	4.0c	8.0p	2.0p	10.0c	2.5c

* Volume Thresholds are progressive not 'cliff-edge'

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