

2014-04-25

Exchange Notice**IT 48/14****IT - INET - Voluntary post trade counterparty visibility model to NASDAQ OMX Nordic ETFs as of June 16, 2014**

NASDAQ OMX Nordic will extend the voluntary post trade counterparty visibility model for ETFs traded on NASDAQ OMX Helsinki and Stockholm. Member may choose, separately from shares in the scope of the model, whether its identity (MPID) is displayed on the public trade ticker.

Instruments added to the voluntary post trade counterparty visibility model scope

- NASDAQ OMX Stockholm (XSTO)*: ETFs traded on segment OMX STO Fund units (seq. no 17)
- NASDAQ OMX Helsinki (XHEL): ETF traded on segment OMX HEL Fund units (seq.no 69)

* Norwegian ETFs admitted to trading at NASDAQ OMX Stockholm and traded in NOK continue to have trade publication without counterparty visibility.

Member set-up

The current counterparty disclosure arrangement for ETFs, where MPID is displayed on the public trade ticker, is a default set-up for a member. Member who wishes to keep the default set-up on ETFs will automatically continue to do so.

Member who wish to have the post trade counterparty visibility model for ETFs, may choose it per exchange, e.g. member may choose visibility on XHEL ETF, but not to be visible on XSTO ETFs. If the member has two or more MPIDs on a single exchange, member's choice is valid for all its MPIDs on ETFs on that exchange.

Note that the choice on post trade counterparty visibility may be made separately for the shares in the scope of the model and ETFs on member level by exchange.

How to subscribe for a change in post trade counterparty visibility for ETFs

Members who wish to change their settings on ETFs are asked to notify NASDAQ OMX Nordic via a form that can be obtained from their Key Account Manager. The first date to have the change effective is June 16, 2014.

After June 16, 2014, members may change their set-up on a monthly basis. New choice will be applied from the first calendar month following the calendar month in which the submission of the notification is made.

Implementation details

This change has no impact on the INET Nordic trading protocols or Nordic Workstation.

The instrument reference data BD Tradable PostTradeAnonymity (PTb), distributed via the TIP protocol in Genium Consolidated Feed, includes a value "4" that is applied to the instruments in scope.

Time schedule

2014-05-19: INET Nordic test facility (OTF) available. Please contact Member Services ms.inet@nasdaqomx.com in order to change the MPID configuration for testing purposes.

2014-06-16: Implementation in INET Nordic production.

INET Nordic Market Model

Changes will be updated to the INET Nordic Market Model valid as of June 16, 2014.

Support

If you have any questions regarding this Exchange Notice, please contact NASDAQ OMX Tech Support at: +46 8 405 6410, operator@nasdaqomx.com

Best regards,

NASDAQ OMX Nordic

NASDAQ OMX Nordic is not a legal entity but describes the common offering from the NASDAQ OMX exchanges in Helsinki, Copenhagen, Stockholm, and Iceland.