



Two changes to the setup in SAXESS for reporting on the OTC Publication Service and configuration of the Copenhagen First North market on a separate Technical Exchange

Extension of OMX Nordic Exchange's OTC Publication Service

On 1 November OMX Nordic Exchange (OMX) introduced its OTC Publication Service. A lot of members as well as non-member investment firms have chosen this OTC service for publication of their OTC trades.

Some members have announced that they are systematic internalisers (SI's) and that they want to use OMX's OTC Publication Service to publish also their SI related trades. In order to be able to separate these trades from the "ordinary" OTC trades, which is a MiFID requirement, OMX has decided to introduce two additional OTC trade types to be used in all the OMX OTC equity sub markets:

- OTC CPH Equities
- OTC CPH Rights
- OTC HEL Equities
- OTC HEL Equity Rights
- OTC ICE Equities
- OTC STO Equities
- OTC STO Eq. Rights
- OTCM REP

The new trade types are called

- SI standard (SIST)
- SI non-standard (SINS)

The new trade types will be implemented in the SAXESS test system SEXTST3 on 20th November 2007.

The implementation in the production system will take place on Monday 3rd December 2007.

In order for a SI obligated member to become fully MiFID compliant, when not flagging the precise trade venue, OMX Nordic Exchange will publish Monthly statistics in accordance with the MiFID Directives Article 27. par. 2-3 for the Investment Firms being systematic internalisers.

The Nordic Exchange

New MIC code for First North CPH

In June 2007 OMX announced that due to the MiFID implementation The Danish First North market would get a new Market Identifying Code (MIC) to make it possible to distinguish between the exchange market (Regulated Market) and the First North market (MTF). The changes will be implemented in the production version of SAXESS on 3rd December 2007. The new Exchange, market and submarket for the Danish First North will be visible as of production as of 26th November 2007.

The new First North MIC is **XFND**.

The technical implication of this is that the First North market, sub market and current order books have to be connected to a new “Technical Exchange” called OMX First North Denmark.

The changes will be available in the external SAXESS test system as of Tuesday 20th November 2007. Below you can see the names, codes and ID's that are used in the test system:

Exchange:

Name: OMX First North Denmark

Code: OMXFN

Market:

Name: First North

ID: 239

Sub Market:

Name: FNDK CPH

ID: 240

Sequent no: 100

BIC code: XFND DK K1 FND

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